

## A Radial Transport/Fokker-Planck Model for a Tokamak Plasma\*

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In neutral-beam heated Tokamaks and the two-energy-component toroidal fusion test reactor (TFTR) there is a warm Maxwellian background plasma which can be described by a set of macroscopic transport equations, and one or more energetic species which are quite non-Maxwellian and should be described by the Fokker-Planck equation. The coupling of these systems is by means of sources of particles and energy in the multispecies transport equations and a time-dependent Maxwellian target plasma in the multispecies Fokker-Planck equations. We have developed a new hybrid code which solves the time-dependent equations of these models simultaneously. Numerical results for a TFTR are presented.

### 1. INTRODUCTION

In this paper we describe a code to be used for solving the differential equations of plasma transport in toroidal confinement systems. The energetic species are described by velocity space distribution functions, and their slowing down and scattering are modeled numerically by a Fokker-Planck collision operator. The "warm" background ions and electrons are described by a multifluid transport model.

We consider an arbitrary number of such energetic species, which are written as distribution functions in three-dimensional phase space,  $f_b(v, \theta, r, t)$ , where  $v$  is velocity magnitude,  $\theta$  is the pitch angle and  $r$  is the distance from the magnetic axis (see Fig. 1). The poloidal flux is taken to be a function only of  $r$ ; i.e., surfaces of constant flux are circular tori. We allow for an arbitrary number of background ion species described by densities  $n_a(r, t)$ , all at the same temperature  $T_i(r, t)$ . The electrons are described by a separate temperature profile  $T_e(r, t)$ , and their number density is determined by quasineutrality. Only the poloidal component of the magnetic field,  $B_\theta(r, t)$ , varies with time.

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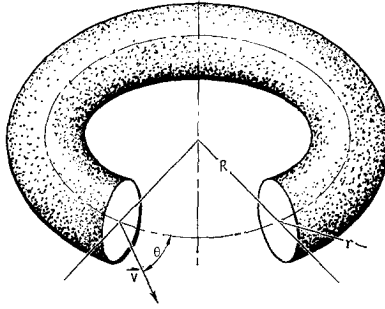


FIG. 1. Coordinate System

## 2. BASIC EQUATIONS

Our model is described by the equations<sup>1</sup>

$$\partial f_b / \partial t = (\partial f_b / \partial t)_c + H_b - S_{bc} + S_{ab} - c_b f_b + \hat{E}_b, \quad (2.1)$$

$$\partial n_a / \partial t = - (1/r)(\partial/\partial r)(r\Gamma_a) + \int (S_{bc} + c_b f_b) dv \cdot \delta(a, b), \quad (2.2)$$

$$(\partial/\partial t)(\frac{3}{2}n_e T_e) = - (1/r)(\partial/\partial r)(rQ_e) - Q_\Delta - \hat{Q} + E_z j_z + \sum_b Q_{eb} - \frac{3}{2}n_e T_e / \hat{\tau}_e, \quad (2.3)$$

$$\begin{aligned} (\partial/\partial t) \left( \frac{3}{2} \sum_a n_a T_i \right) &= - (1/r)(\partial/\partial r)(rQ_i) + Q_\Delta + \hat{Q} + \sum_{a,b} Q_{ab} \\ &+ \frac{3}{2} T_i \sum_{a,b} \int (S_{bc} + c_b f_b) dv \delta(a, b), \end{aligned} \quad (2.4)$$

$$\partial B_\theta / \partial t = c(\partial E_z / \partial r). \quad (2.5)$$

Here,  $H_b$  is the source profile for species "b";  $S_{\alpha D}$ ,  $S_{\alpha\alpha}$  and  $S_{\alpha T}$  are source or loss terms describing the D-T- $\alpha$  reaction;  $S_{bc}$  represents the transfer of (low-energy) particles from a hot species to its corresponding background; and  $\delta(a, b)$  is the Kronecker delta. The term  $\Gamma_a$  is the particle flux for species "a";  $Q_e$  and  $Q_i$  are the electron and ion energy fluxes;  $Q_\Delta$  and  $\hat{Q}$  represent energy transfer between ions and electrons;  $Q_{ab}$  represents the heating of species "a" by energetic species "b";  $Q_{eb}$  represents the heating of electrons by energetic species "b";  $E_z j_z$  is ohmic heating;  $c_b$  is an inverse charge exchange time;  $\hat{E}_b$  describes the acceleration due to the toroidal electric field; and  $\hat{\tau}_e$  is the electron energy con-

<sup>1</sup> Throughout the paper summations over plasma species are assumed to include background ions only, except where otherwise noted.

finement time. We write the Fokker-Planck collision operator for the energetic species in conservative form [1]:

$$\frac{1}{*I_b} \left( \frac{\partial f_b}{\partial t} \right)_c = \frac{1}{v^2} \frac{\partial G_b}{\partial v} + \frac{1}{v^2 \sin \theta} \frac{\partial H_b}{\partial \theta}, \quad (2.6)$$

where

$$G_b = A_b f_b + B_b (\partial f_b / \partial v) + C_b (\partial f_b / \partial \theta) \quad (2.7)$$

and

$$H_b = D_b f_b + E_b (\partial f_b / \partial v) + F_b (\partial f_b / \partial \theta). \quad (2.8)$$

The coefficients of Eqs. (2.7) and (2.8) are given by

$$\begin{aligned} A_b = & \frac{v^2}{2} \frac{\partial^3 g_b}{\partial v^3} + v \frac{\partial^2 g_b}{\partial v^2} - \frac{\partial g_b}{\partial v} - \frac{1}{v} \frac{\partial^2 g_b}{\partial \theta^2} + \frac{1}{2} \frac{\partial^3 g_b}{\partial v \partial \theta^2} - \frac{\cot \theta}{v} \frac{\partial g_b}{\partial \theta} \\ & + \frac{\cot \theta}{2} \frac{\partial^2 g_b}{\partial v \partial \theta} - v^2 \frac{\partial h_b}{\partial v}, \end{aligned} \quad (2.9)$$

$$B_b = \frac{v^2}{2} \frac{\partial^2 g_b}{\partial v^2}, \quad (2.10)$$

$$C_b = -\frac{1}{2v} \frac{\partial g_b}{\partial \theta} + \frac{1}{2} \frac{\partial^2 g_b}{\partial v \partial \theta}, \quad (2.11)$$

$$\begin{aligned} D_b = & \frac{\sin \theta}{2v^2} \frac{\partial^3 g_b}{\partial \theta^3} + \frac{\sin \theta}{2} \frac{\partial^3 g_b}{\partial v^2 \partial \theta} + \frac{\sin \theta}{v} \frac{\partial^2 g_b}{\partial v \partial \theta} - \frac{1}{2v^2 \sin \theta} \frac{\partial g_b}{\partial \theta} \\ & + \frac{\cos \theta}{2v^2} \frac{\partial^2 g_b}{\partial \theta^2} - \sin \theta \frac{\partial h_b}{\partial \theta}, \end{aligned} \quad (2.12)$$

$$E_b = \sin \theta C_b, \quad (2.13)$$

$$F_b = \frac{\sin \theta}{2v^2} \frac{\partial^2 g_b}{\partial \theta^2} + \frac{\sin \theta}{2v} \frac{\partial g_b}{\partial v}, \quad (2.14)$$

where

$$\nabla^4 g_b = -8\pi \sum_s \left( \frac{Z_b}{Z_s} \right)^2 \ln A_{bs} f_s, \quad (2.15)$$

$$\nabla^2 h_b = -4\pi \sum_s \left( \frac{Z_b}{Z_s} \right)^2 \ln A_{bs} \left( 1 + \frac{m_b}{m_s} \right) f_s, \quad (2.16)$$

and

$$\ln A_{bs} = \ln \left[ \left( \frac{m_b m_s}{m_b + m_s} \right) \frac{2\bar{\alpha}\lambda_D}{r_e m_e c} \sup_{k=b,s} \left( \frac{2E_k}{m_k} \right)^{1/2} \right] - \frac{1}{2}. \quad (2.17)$$

Here  $\bar{\alpha} = 1/137$  is the fine structure constant,  $r_e$  is the classical electron radius  $e^2/m_e c^2$ , and  $\lambda_D$  is the Debye length. The quantity  $*\Gamma_b$  in Eq. (2.6) is given by

$$*\Gamma_b = 4\pi(Z_b e)^4/m_b^2. \quad (2.18)$$

The quantity "s" in Eqs. (2.15) and (2.16) runs over all species; the background ions and electrons are represented as Maxwellians of appropriate density and temperature.

The energy transfer terms  $Q_{ab}$  of Eqs. (2.3)–(2.4), where "d" = "a" or "e," are defined as

$$Q_{ab} = \frac{1}{2}m_a \int (\partial f_a/\partial t)_{c,b} v^2 dv, \quad (2.19)$$

where  $(\partial f_a/\partial t)_{c,b}$  represents those terms of Eq. (2.6) involving the Rosenbluth potentials ( $g_b$  and  $h_b$ ) for species "b." Performing the integration in Eq. (2.19), we obtain the formula

$$Q_{ab} = (4\pi m_a)(4\pi *\Gamma_a \ln \Lambda_{ab}(Z_b/Z_a)^2) \int_0^\infty f_a v^2 dv \cdot \left\{ \int_0^\infty f_b x dx - (m_a/m_b)(1/v) \int_0^v f_b x^2 dx \right\} \quad (2.20)$$

where

$$f_b(v) = \frac{1}{2} \int_0^\pi f_b(v, \theta) \sin \theta d\theta. \quad (2.21)$$

and  $f_a$  is the appropriate Maxwellian.

The particle transfer term  $S_{be}$  of Eq. (2.1) may be defined in two ways. In the first method, we set

$$S_{be} = (g_b(v, r)/\Delta t) \inf_{v, \theta} (f_b(v, \theta, r)/g_b(v, r)), \quad (2.22)$$

where

$$g_b(v, r) = (m_b/2\pi T_i(r))^{3/2} \exp(-\frac{1}{2}m_b v^2/T_i(r)). \quad (2.23)$$

What we are effectively doing here is subtracting an appropriate Maxwellian from the energetic species distribution function, and transferring that number of particles to the corresponding background species. In the second method we set  $f_b(v, \theta, r) = 0$  if  $\frac{1}{2}m_b v^2 \leq \frac{3}{2}T_i(r)$ , and compute the number of particles lost across the boundary in velocity space  $v = (3T_i(r)/m_b)^{1/2}$ . This latter method has the advantage that the resulting energetic distribution functions will tend to be smoother. However, the former method seems more physically correct since a background Maxwellian consists of a sampling of particles of various energies—not a delta function of energy  $\frac{3}{2}T_i$ ; hence, the particle source term should reflect this property. Detailed time dependent comparisons of the two methods have

yet to be performed. Preliminary computations indicate, however, that the two methods will most likely compare favorably well.

The toroidal electric field term  $\hat{E}_b$  is simply

$$\hat{E}_b = \frac{-Z_b e E_z}{m_b} \left( \cos \theta \frac{\partial f_b}{\partial v} - \frac{\sin \theta}{v} \frac{\partial f_b}{\partial \theta} \right). \quad (2.24)$$

This term has yet to be included in any calculations.

The form of the inverse charge exchange time is

$$c_b = c_{0b} \exp(c_{1b} r/a). \quad (2.25)$$

The fusion terms are given by the expressions

$$S_{\alpha D} = -n_T \overline{\sigma_{DT} v} f_D, \quad (2.26)$$

$$S_{\alpha T} = -n_D \overline{\sigma_{DT} v} f_T, \quad (2.27)$$

$$S_{\alpha\alpha} = n_D n_T \overline{\sigma_{DT} v} \cdot \delta(v_\alpha - (2(3.5 \text{ MeV})m_\alpha)^{1/2})/4\pi v^2. \quad (2.28)$$

The primary transport model represents the collisionless (banana) regime.

We let

$$\Gamma_a = \Gamma_a^c + (n_a/n_e) \Gamma_e^c, \quad (2.29)$$

$$Q_i = \sum_a (Q_a^c + (5/2)(n_a/n_e) \Gamma_e^c T_i), \quad (2.30)$$

$$Q_e = Q_e^c, \quad (2.31)$$

$$Q_\Delta = (3m_e(T_e - T_i)/\tau_e) \sum_a (n_a/m_a) Z_a^2, \quad (2.32)$$

$$\hat{Q} = e\Gamma_e E_r, \quad (2.33)$$

where  $\Gamma_a^c$ ,  $\Gamma_e^c$ ,  $Q_a^c$ , and  $Q_e^c$  are Connor's expressions for the fluxes [2], and

$$\Gamma_e = \sum_a Z_a \Gamma_a, \quad (2.34)$$

$$\tau_e = 3m_e^{1/2} T_e^{3/2} / 4(2\pi)^{1/2} e^4 n_e \ln \Lambda_{ee}, \quad (2.35)$$

$$E_r = T_i \sum_a \frac{m_a n_a}{Z_a e} \left[ \frac{n_a'}{n_a} - 0.17 \frac{T_i'}{T_i} \right] \langle v_a \rangle / \sum_a m_a n_a \langle v_a \rangle. \quad (2.36)$$

The average collision frequency ( $\nu$ ) is given by

$$\langle \nu_j \rangle = (4/3\pi^{1/2}) \int_0^\infty x_j^{3/2} e^{-x_j} \nu_j(x_j) dx_j, \quad (2.37)$$

where

$$\nu_j = \sum_k \nu_{jk}, \quad (2.38)$$

$$\nu_{jk} = \left( \frac{2^{1/2} \pi e^4 \ln \Lambda_{ee} Z_j^2 Z_k^2 n_k}{m_j^{1/2} T_j^{3/2}} \right) x_j^{-3/2} h \left( \frac{m_k T_j}{m_j T_k} x_j \right), \quad (2.39)$$

$$h(x) = (1 - (1/2x)) \eta(x) + \eta'(x), \quad (2.40)$$

$$\eta(x) = 2/\pi^{1/2} \int_0^x e^{-t} t^{1/2} dt. \quad (2.41)$$

Here, “ $j$ ” and “ $k$ ” stand for either “ $a$ ” or “ $e$ ” (plasma ions or electrons). Eqs. (2.29) and (2.30) basically agree with Connor’s expressions since for a multi-ion plasma  $\Gamma_e^c \ll \Gamma_a^c$ ; however, it is necessary to add the correction terms proportional to  $\Gamma_e^c$  so that the model consistently represents both simple and multispecies plasmas.<sup>2</sup> The expression for the toroidal electric field  $E_z$  is given by

$$E_z = (m_e/n_e e^2 \tau_e \delta_4) (1 + \delta_5 (r/R)^{1/2})^{-1} j_e, \quad (2.42)$$

where

$$j_z = j_e + j_\theta = (c/4\pi)(1/r)(\partial/\partial r)(rB_\theta) \quad (2.43)$$

and  $j_\theta$  is the bootstrap current, for which a formula is given in Appendix A. The expression for  $j_\theta$  has not been properly generalized for multispecies plasmas due to its high degree of complexity. The values of  $\delta_4$  and  $\delta_5$  in Eq. (2.42) are defined in Appendix A.

It is convenient to write the transport model in a more general form so that the form of the transport coefficients can be changed without modifying the basic structure of the code. We let

$$\Gamma_a = \sum_b D_{ab}^d (\partial n_b / \partial r) + D_a^i (\partial T_i / \partial r) + D_a^e (\partial T_e / \partial r) + D_a^z E_z, \quad (2.44)$$

$$Q_i = \sum_b L_b^d (\partial n_b / \partial r) + L^i (\partial T_i / \partial r) + L^e (\partial T_e / \partial r) + L^z E_z, \quad (2.45)$$

$$Q_e = \sum_b M_b^d (\partial n_b / \partial r) + M^i (\partial T_i / \partial r) + M^e (\partial T_e / \partial r) + M^z E_z, \quad (2.46)$$

$$E_z = \sum_b K_b^d (\partial n_b / \partial r) + K^i (\partial T_i / \partial r) + K^e (\partial T_e / \partial r) + K^z (1/r)(\partial/\partial r)(rB_\theta), \quad (2.47)$$

$$\hat{Q} = \left[ \sum_b R_b^d (\partial n_b / \partial r) + R^i (\partial T_i / \partial r) \right] \sum_b Z_b \Gamma_b, \quad (2.48)$$

$$Q_\Delta = \sum_b \hat{v}_b n_b (T_e - T_i). \quad (2.49)$$

Expressions for the coefficients in Eqs. (2.44)–(2.49) are given in Appendix A.

<sup>2</sup> For a simple plasma  $\Gamma_a^c = 0$ , and  $\Gamma_a$  should equal  $\Gamma_a^c/Z_a$ .

In addition to the “banana” regime, the “smoothed banana-plateau” regime of Hinton *et al.* [3] may be implemented. Approximations for these coefficients derived by Rutherford [4] appear in Appendix B.

### 3. NUMERICAL TECHNIQUES

The Fokker–Planck collision operator, Eq. (2.6), is integrated using a split semi-implicit difference algorithm:

$$(f_b^1 - f_b^0)/\Delta t = (*\Gamma_b/v_j^2)(\partial G_b/\partial v)_{i,j} \quad (3.1)$$

$$(f_b^2 - f_b^1)/\Delta t = (*\Gamma_b/v_j^2 \sin \theta_i)(\partial H_b/\partial \theta)_{i,j} \quad (3.2)$$

$$\begin{aligned} \left(\frac{\partial G_b}{\partial v}\right)_{i,j} &= \frac{A_{i,j+1}^0 f_{i,j+1}^1 - A_{i,j-1}^0 f_{i,j-1}^1}{2\Delta v_j} \\ &+ \frac{1}{\Delta v_j} \left[ \frac{B_{i,j+1/2}^0 (f_{i,j+1}^1 - f_{i,j}^1)}{\Delta v_{j+1/2}} - \frac{B_{i,j-1/2}^0 (f_{i,j}^1 - f_{i,j-1}^1)}{\Delta v_{j-1/2}} \right] \\ &+ \frac{1}{2\Delta v_j} \left[ \frac{C_{i,j+1}^0 (f_{i+1,j+1}^0 - f_{i-1,j+1}^0)}{2\Delta \theta_i} - \frac{C_{i,j-1}^0 (f_{i+1,j-1}^0 - f_{i-1,j-1}^0)}{2\Delta \theta_i} \right], \end{aligned} \quad (3.3)$$

$$\begin{aligned} \left(\frac{\partial H_b}{\partial \theta}\right)_{i,j} &= \frac{D_{i+1,j}^0 f_{i+1,j}^2 - D_{i-1,j}^0 f_{i-1,j}^2}{2\Delta \theta_i} \\ &+ \frac{1}{2\Delta \theta_i} \left[ \frac{E_{i+1,j}^0 (f_{i+1,j+1}^1 - f_{i+1,j-1}^1)}{2\Delta v_j} - \frac{E_{i-1,j}^0 (f_{i-1,j+1}^1 - f_{i-1,j-1}^1)}{2\Delta v_j} \right] \\ &+ \frac{1}{\Delta \theta_i} \left[ \frac{F_{i+1/2,j}^0 (f_{i+1,j}^2 - f_{i,j}^2)}{\Delta \theta_{i+1/2}} - \frac{F_{i-1/2,j}^0 (f_{i,j}^2 - f_{i-1,j}^2)}{\Delta \theta_{i-1/2}} \right], \end{aligned} \quad (3.4)$$

where  $f_{ij} = f(v_j, \theta_i)$ , and the subscript “b” has been dropped. The superscript “0” represents the data at time-step  $n$ , “1” represents the intermediate data, and “2” refers to the data at time-step  $n + 1$ . Also,

$$B_{i,j\pm 1/2} = \frac{1}{2}(B_{i,j} + B_{i,j\pm 1}) \quad (3.5)$$

$$F_{i\pm 1/2,j} = \frac{1}{2}(F_{i,j} + F_{i\pm 1,j}) \quad (3.6)$$

$$\Delta v_{j\pm 1/2} = \pm(v_{j\pm 1} - v_j) \quad (3.7)$$

$$\Delta \theta_{i\pm 1/2} = \pm(\theta_{i\pm 1} - \theta_i) \quad (3.8)$$

$$\Delta v_j = \frac{1}{2}(\Delta v_{j+1/2} + \Delta v_{j-1/2}) \quad (3.9)$$

$$\Delta \theta_i = \frac{1}{2}(\Delta \theta_{i+1/2} + \Delta \theta_{i-1/2}). \quad (3.10)$$

We see that Eq. (2.6) is differenced in conservative form; that is, the density of particles will be precisely conserved modulo boundary terms. One drawback, however, is that the method is only first-order accurate for a general nonuniform mesh. Note also that the terms of mixed derivative type are treated explicitly. This is done so that the difference equations may be expressed in tridiagonal form.

Eqs. (2.2)–(2.5) are differenced using a semi-implicit iterative technique. We may express Eqs. (2.2)–(2.5) in vector form as

$$\mathbf{A}(\partial\mathbf{U}/\partial t) = \mathcal{L}(\mathbf{U}) \quad (3.11)$$

where  $\mathbf{U}$  is a  $K + 3$  component vector consisting of  $K$  densities, the ion and electron energies and the poloidal magnetic field, and the matrix  $\mathbf{A}$  accounts for the fact the  $K + 1$  and  $K + 2$  components of the vector  $(\partial\mathbf{U}/\partial t)$  differ from the respective terms on the left hand sides of Eqs. (2.3)–(2.4). We write

$$(\mathbf{U}_i^{n+1} - \mathbf{U}_i^n)/\Delta t = \mathbf{A}^{-1}[\rho\mathcal{L}^*(\mathbf{U}^{n+1}) + (1 - \rho)\mathcal{L}(\mathbf{U}^n)] \quad (3.12)$$

where the implicit operator  $\mathcal{L}^*$  is linearized with coefficients depending on the latest iterate. Products of implicit derivatives are written as

$$((\partial f/\partial r)(\partial g/\partial r))^{n+1} = (1/2)[(\partial f/\partial r)^{n+1}(\partial g/\partial r)^* + (\partial f/\partial r)^*(\partial g/\partial r)^{n+1}] \quad (3.13)$$

where  $*$  refers to the latest iterate. Derivatives of the form  $(1/r)(\partial/\partial r)(D(\partial h/\partial r))$  are approximated as

$$\frac{1}{r} \frac{\partial}{\partial r} \left( D \frac{\partial h}{\partial r} \right) = \frac{1}{r_i \Delta r_i} \left[ D_{i+1/2} \left( \frac{h_{i+1} - h_i}{\Delta r_{i+1/2}} \right) - D_{i-1/2} \left( \frac{h_i - h_{i-1}}{\Delta r_{i-1/2}} \right) \right]. \quad (3.14)$$

Eq. (3.12) is, in general, only first order accurate in space, but both density and energy density will be conserved. All of the spatial differences involve at most 3 points, so that Eq. (3.12) may be viewed as a vector tridiagonal system. A method for solving such systems is presented by Killeen *et al.* [1].

It should be noted that the Fokker–Planck equations for the energetic species need not be computed at each radial meshpoint. Our model contains an option whereby the energetic distributions are stored and advanced at every  $K$ th value of  $r$ , with  $K$  arbitrary. Relevant quantities at intermediate radial points (e.g., energy transfer) may then be computed using some form of interpolation—at this writing, either linear or cubic splines. This option is indispensable for the efficient running of the code, for most of the computer time is spent integrating the Fokker–Planck equations.



## 4. BOUNDARY CONDITIONS

The boundary conditions for the distribution function  $f_b(v, \theta, r)$  are:

$$f_b(\infty, \theta, r) = 0 \quad (4.1)$$

$$(\partial f_b / \partial v)(0, \pi/2, r) = 0 \quad (4.2)$$

$$(\partial / \partial \theta) f_b(0, \theta, r) = 0 \quad (4.3)$$

$$(\partial f_b / \partial \theta)(v, 0, r) = (\partial f_b / \partial \theta)(v, \pi, r) = 0. \quad (4.4)$$

In an attempt to impose density conservation, Eqs. (4.2)–(4.4) are replaced by conservation conditions of the form

$$(\partial / \partial v)(A_b f_b + B_b (\partial f_b / \partial v)) = 0 \quad (4.5)$$

and

$$(\partial / \partial \theta)(D_b f_b + F_b (\partial f_b / \partial \theta)) = 0. \quad (4.6)$$

These equations are evaluated one half mesh-point from the respective boundaries.

The boundary conditions for the “transport” dependent variables are:

$$(\partial n_a / \partial r)(r = 0) = 0 \quad (4.7)$$

$$(\partial T_e / \partial r)(r = 0) = 0 \quad (4.8)$$

$$(\partial T_i / \partial r)(r = 0) = 0 \quad (4.9)$$

$$B_\theta(r = 0) = 0 \quad (4.10)$$

$$n_a(r = 0) = \psi_a(t) \quad (4.11)$$

$$T_e(r = a) = \psi_e(t) \quad (4.12)$$

$$T_i(r = a) = \psi_i(t) \quad (4.13)$$

$$(\partial B_\theta / \partial t)(r = a) = 0. \quad (4.14)$$

Eqs. (4.7)–(4.9) are also replaced by conservation boundary conditions in an attempt to impose density and energy-density conservation.

## 5. DIAGNOSTICS

We compute the total particle number and energy for each species<sup>3</sup>

$$N_p = (2\pi)^2 R \int_0^a n_p(r) r dr \quad (5.1)$$

$$N_p \langle T_p \rangle = (2\pi)^2 R \int_0^a n_p(r) T_p(r) r dr. \quad (5.2)$$

<sup>3</sup> All energy integrals computed here are  $\frac{2}{3}$  of the true energy.

Here  $R$  is the major radius,  $a$  is the minor radius, and for the energetic species  $T_p(r)$  is two-thirds of the mean energy per particle. We also keep track of the number of injected particles and the injected energy.

$$N_b^i = (2\pi)^2 R \int_0^t \int_0^a J_b(r, t) r dr dt \quad (5.3)$$

$$N_b^i \langle T_b^i \rangle = (2\pi)^2 R \int_0^t \int_0^a J_b(r, t) T_b^i(r, t) r dr dt \quad (5.4)$$

$$N_b^e = N_b^i \quad (5.5)$$

$$N_b^e \langle T_b^e \rangle = (2\pi)^2 R \int_0^t \int_0^a J_b(r, t) Z_b T_e^i(r, t) r dr dt. \quad (5.6)$$

Here  $T_b^i(r)$  is the species “b” source temperature profile,  $T_e^i(r)$  is the corresponding electron source temperature profile, and  $J_b(r)$  is the appropriate source current.

The number of particles and total energy lost at the limiter are equal to

$$N_a^{\text{lim}} = (2\pi)^2 R \int_0^t \Gamma_a(r = a, t) dt \quad (5.7)$$

$$\sum_a N_a^{\text{lim}} \langle T_i^{\text{lim}} \rangle = (2\pi)^2 R \cdot \frac{2}{3} \int_0^t Q_i(r = a, t) dt \quad (5.8)$$

$$N_e^{\text{lim}} \langle T_e^{\text{lim}} \rangle = (2\pi)^2 R \cdot \frac{2}{3} \int_0^t Q_e(r = a, t) dt. \quad (5.9)$$

The ohmic heating input energy is

$$Q_o = (2\pi)^2 R \int_0^t \int_0^a j_z(r, t) E_z(r, t) r dr dt \quad (5.10)$$

and the fusion energy is equal to

$$F_o = (2\pi)^2 R \int_0^t \int_0^a n_D(r, t) n_T(r, t) \overline{\sigma_{DT} v} E_f r dr dt \quad (5.11)$$

where  $E_f = 17.6$  MeV and  $\sigma_{DT}$  is the D-T- $\alpha$  reaction cross-section. The approximation used for  $\sigma_{DT}$  is given by Futch *et al.* [5], and the computation of  $\overline{\sigma_{DT} v}$  is discussed in Marx *et al.* [6].

## 6. NUMERICAL RESULTS

We first present a one-ion (protons) transport-only problem in which the toroidal minor radius  $a$  is 14 cm, the major radius  $R$  is 109 cm, and the toroidal magnetic field  $B_o$  is 30 kG. The total current  $I$ , given by

$$I = 2\pi \int_0^a j_z(r) r dr \quad (6.1)$$

is 40 kA, and the current density is of the form

$$j_z(r) \sim (1 - r^2/a^2). \tag{6.2}$$

The initial density and temperature profiles are

$$n(r) = 10^{13}[1 - .8(r/a)^2] \text{ cm}^{-3} \tag{6.3}$$

$$T_e(r) = .2[1 - .8(r/a)^2] \text{ keV} \tag{6.4}$$

$$T_i(r) = .02 \text{ keV} \tag{6.5}$$

and the limiter values ( $r = a$ ) are held constant in time.

This problem was run for a total of 60 msec at a time step of 0.1 msec, using the smoothed banana-plateau coefficients of Appendix B. Figures 2-5 contain profiles of the density, electron temperature, ion temperature and toroidal current density, respectively. The temperatures have risen substantially since  $t = 0$ . There is a mild depression of the density profile and humps in the  $T_e$  and  $j_z$  profiles near the "limiter"  $r = a$ ; these are known as "skin effects" (referring to the skin of the torus), and they do not occur to any real extent experimentally.

These results basically agree with those of Hinton *et al.* [8], except for the fact that the on-axis  $T_e$  and  $T_i$  values are off by about 10%. This is attributable to

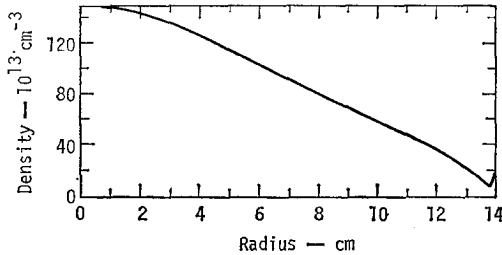


FIG. 2.  $n(r)$  (part/cm<sup>3</sup>) at  $t = 60$  ms (transport-only prob.).

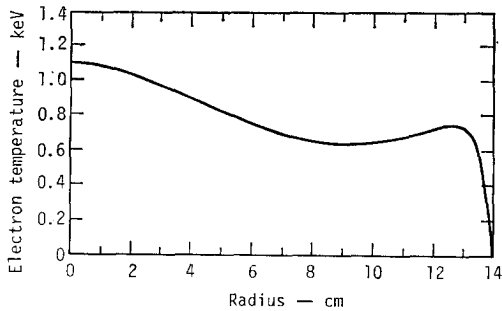


FIG. 3.  $T_e(r)$  (keV) at  $t = 60$  ms (transport-only prob.).

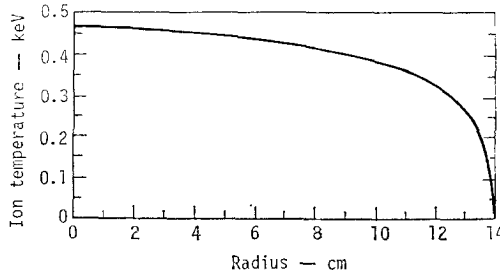


FIG. 4.  $T_i(r)$  (keV) at  $t = 60$  ms (transport-only prob.).

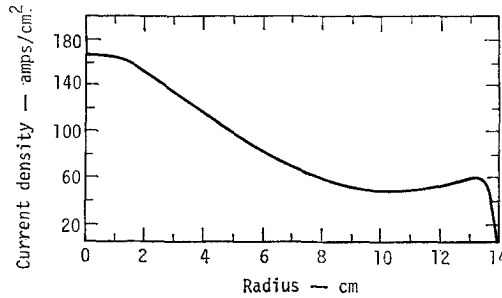


FIG. 5.  $j_z(r)$  (amps/cm<sup>2</sup>) at  $t = 60$  ms (transport-only prob.).

differences in our respective transport models, some of which are noted in Appendix A.

We now present a D-T Fokker-Planck transport problem in which  $a = 90$  cm,  $R = 270$  cm,  $B_\phi = 45$  kG and  $I = 2.5$  MA. There is initially a background tritium plasma satisfying

$$n_T(r) = 2 \times 10^{14} [1 - .8(r/a)^2] \text{ cm}^{-3}, \quad (6.6)$$

$$T_i(r) = 8 [1 - \frac{15}{8}(r/a)^2] \text{ keV}, \quad (6.7)$$

$$T_e(r) = T_i(r), \quad (6.8)$$

and the initial current profile is given by Eq. (6.2). We inject a deuterium beam of 120 keV from  $t = 10$  msec to  $t = 300$  msec and calculate up to  $t = 2000$  msec at a time-step of 2.0 msec. The beam current density is

$$J_b(r) = 8.11 \times 10^{13} (.9e^{-4(r/a)^2}) \quad (6.9)$$

and the total current is 180 amps. The quantities  $T_e(a)$ ,  $T_i(a)$  and  $n_T(a)$  are held constant in time, but  $n_D(a)$  is allowed to increase in time to take account of the

transfer of energetic particles to the background plasma. The transport regime is the banana regime of Appendix A.

Figure 6 contains a plot of the fusion energy generated and the injected beam energy as functions of time. The “beam generated fusion energy” is that component

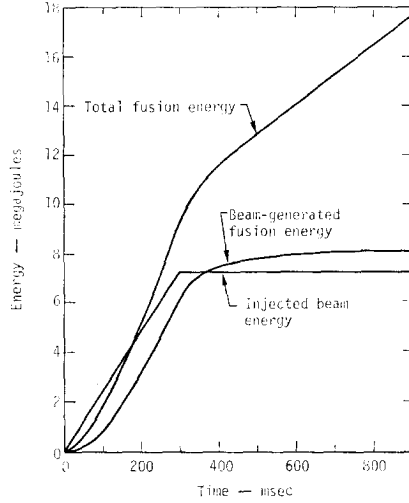


FIG. 6. Fusion energy vs. time (F.P. transport prob.).

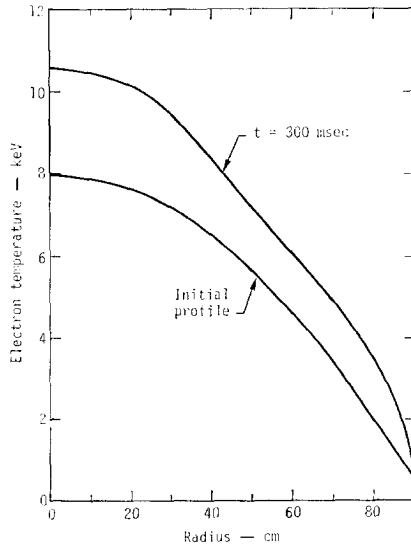


FIG. 7.  $T_e(r)$  at  $t = 0$  and 300 ms (F.P. transport prob.).

of fusion energy which remains after the steady state component is subtracted out; i.e., we subtract from Eq. (5.11) a term of the form “ $ct$ ,” where  $c$  is the steady state fusion reaction rate. We see that the figure of merit  $Q$ , defined as the net fusion energy divided by the injected energy, is greater than 1. Figure 7 shows the profile  $T_e(r)$  at  $t = 0$  and  $t = 300$  msec. We see that the electrons have heated up due to the presence of the hot deuterium. Figure 8 shows the deuterium density as a function of  $r$  at  $t = 300$  and  $t = 2000$  msec. We see that diffusion has taken place in that time period. Lastly, Fig. 9 shows a three-dimensional plot of the hot deuterium distribution function at  $r = 67.5$  cm,  $t = 200$  msec.

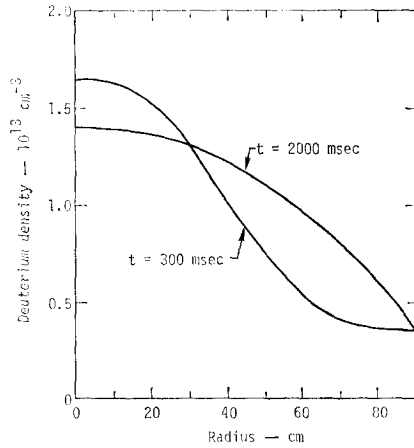


FIG. 8.  $n_D(r)$  at  $t = 300$  and  $2000$  ms (F.P. transport prob.).

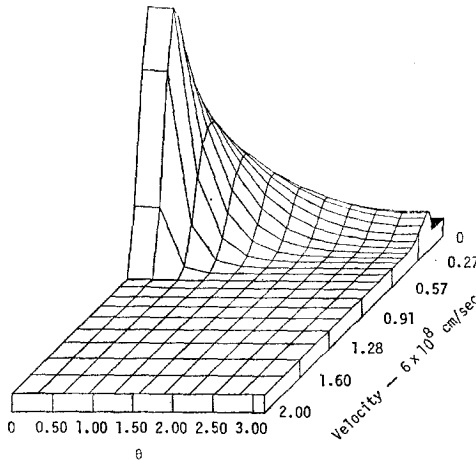


FIG. 9.  $f_D(v, \theta, r = 67.5 \text{ cm}, t = 200 \text{ ms})$  (F.P. transport prob.).

## 7. FUTURE PLANS

Future plans call for making the following improvements:

- (A) The model will be generalized to allow for noncircular flux surfaces.
- (B) Particle orbit loss regions will be inserted into velocity space.
- (C) Magnetic compression will be added.
- (D) A more detailed description of neutrals will be added.
- (E) The transport coefficients will be upgraded.
- (F) A more detailed description of beam deposition will be added.
- (G) The condition that the background ions all be at the same temperature will be relaxed.

## APPENDIX A

The coefficients of Eqs. (2.44)–(2.49) for the “banana” regime are:

$$\begin{aligned}
 D_{ab}^a = & \frac{-1.48c^2(r/R)^{1/2}}{e^2 B_\theta^2} \cdot \frac{1}{\sum_s m_s n_s \langle v_s \rangle} \left[ \frac{m_a n_a \langle v_a \rangle}{Z_a} \right. \\
 & \cdot \left\{ \sum_s m_s n_s \langle v_s \rangle \frac{T_i \delta_{ab}}{Z_a n_a} - \frac{m_b \langle v_b \rangle T_i}{Z_b} \right\} \\
 & \left. + m_e n_a \langle v_e \rangle \left\{ \sum_s m_s n_s \langle v_s \rangle \frac{T_e Z_b}{n_e} + m_b \langle v_b \rangle \frac{T_i}{Z_b} \right\} \right], \quad (\text{A.1})
 \end{aligned}$$

$$\begin{aligned}
 D_a^i = & \frac{1.48c^2(r/R)^{1/2}}{e^2 B_\theta^2} \cdot \frac{1}{\sum_s m_s n_s \langle v_s \rangle} \left[ \frac{m_a n_a \langle v_a \rangle}{Z_a} \right. \\
 & \cdot \left. \left\{ \sum_s m_s n_s \langle v_s \rangle \left( \frac{1}{Z_a} \left( \frac{3}{2} - \frac{\langle x_a v_a \rangle}{\langle v_a \rangle} \right) - \frac{1}{Z_s} \left( \frac{3}{2} - \frac{\langle x_s v_s \rangle}{\langle v_s \rangle} \right) \right) \right\} \right] \\
 & + m_e n_a \langle v_e \rangle \sum_s \frac{m_s n_s \langle v_s \rangle}{Z_s} \left( \frac{3}{2} - \frac{\langle x_s v_s \rangle}{\langle v_s \rangle} \right) \Big], \quad (\text{A.2})
 \end{aligned}$$

$$D_a^e = \frac{1.48c^2(r/R)^{1/2}}{e^2 B_\theta^2} m_e n_a \langle v_e \rangle \left( \frac{3}{2} - \frac{\langle x_e v_e \rangle}{\langle v_e \rangle} \right), \quad (\text{A.3})$$

$$D_a^z = -1.48c \left( \frac{r}{R} \right)^{1/2} \frac{n_a}{B_\theta} \left\{ 1 + \frac{\langle v_{ee} \rangle \langle v_{ee} / v_e \rangle}{\langle v_{ee} (v_e - v_{ee}) / v_e \rangle} \right\}, \quad (\text{A.4})$$

$$\begin{aligned}
L_b^a &= \frac{-1.48c^2(r/R)^{1/2} T_i}{e^2 B_\theta^2 \sum_s m_s n_s \langle v_s \rangle} \left[ \frac{m_b T_i}{Z_b} \right. \\
&\quad \cdot \left( \frac{\langle x_b v_b \rangle}{Z_b} \sum_s m_s n_s \langle v_s \rangle - \langle v_b \rangle \sum_s \frac{m_s n_s \langle x_s v_s \rangle}{Z_s} \right) + \frac{5}{2} m_e \langle v_e \rangle \sum_c n_c \\
&\quad \cdot \left( \sum_s m_s n_s \langle v_s \rangle \frac{T_e Z_b}{n_e} + m_b \langle v_b \rangle T_i / Z_b \right) \Big], \tag{A.5}
\end{aligned}$$

$$\begin{aligned}
L^i &= \frac{1.48c^2(r/R)^{1/2} T_i}{e^2 B_\theta^2 \sum_s m_s n_s \langle v_s \rangle} \left[ \sum_{c,s} \frac{m_c m_s n_c n_s \langle v_s \rangle \langle x_c v_c \rangle}{Z_c} \right. \\
&\quad \cdot \left( \frac{1}{Z_c} \left( \frac{3}{2} - \frac{\langle x_c v_c \rangle}{\langle v_c \rangle} \right) - \frac{1}{Z_s} \left( \frac{3}{2} - \frac{\langle x_s v_s \rangle}{\langle v_s \rangle} \right) \right) \\
&\quad + \frac{5}{2} m_e \sum_c n_c \langle v_e \rangle \sum_s \frac{m_s n_s \langle v_s \rangle}{Z_s} \left( \frac{3}{2} - \frac{\langle x_s v_s \rangle}{\langle v_s \rangle} \right) \\
&\quad \left. - \sum_s m_s n_s \langle v_s \rangle \sum_c \frac{m_c n_c \langle x_c v_c \rangle}{Z_c^2} \left[ \frac{\langle x_c^2 v_c \rangle}{\langle x_c v_c \rangle} - \frac{\langle x_c v_c \rangle}{\langle v_c \rangle} \right] \right], \tag{A.6}
\end{aligned}$$

$$L^e = \frac{3.7c^2(r/R)^{1/2} T_i}{e^2 B_\theta^2} m_e \sum_c n_c \langle v_e \rangle \left( \frac{3}{2} - \frac{\langle x_e v_e \rangle}{\langle v_e \rangle} \right), \tag{A.7}$$

$$L^z = \frac{-3.7c(r/R)^{1/2}}{B_\theta} \sum_c n_c T_i \left[ 1 + \frac{\langle v_{ee} \rangle \langle v_{ee} / v_e \rangle}{\langle v_{ee} (v_e - v_{ee}) / v_e \rangle} \right], \tag{A.8}$$

$$M_b^a = \frac{-1.48c^2(r/R)^{1/2}}{e^2 B_\theta^2} T_e m_e n_e \langle x_e v_e \rangle \left( \frac{T_e Z_b}{n_e} + \frac{T_i m_b \langle v_b \rangle}{Z_b \sum_s m_s n_s \langle v_s \rangle} \right), \tag{A.9}$$

$$M^i = \frac{1.48c^2(r/R)^{1/2}}{e^2 B_\theta^2} \frac{T_e m_e n_e \langle x_e v_e \rangle}{\sum_s m_s n_s \langle v_s \rangle} \left( \sum_s \frac{m_s n_s \langle v_s \rangle}{Z_s} \left( \frac{3}{2} - \frac{\langle x_s v_s \rangle}{\langle v_s \rangle} \right) \right), \tag{A.10}$$

$$M^e = \frac{1.48c^2(r/R)^{1/2}}{e^2 B_\theta^2} T_e m_e n_e \langle x_e v_e \rangle \left( \frac{3}{2} - \frac{\langle x_e^2 v_e \rangle}{\langle x_e v_e \rangle} \right), \tag{A.11}$$

$$M^z = \frac{-1.48c(r/R)^{1/2} n_e T_e}{B_\theta} \left[ \frac{5}{2} + \frac{\langle x_e v_{ee} \rangle \langle v_{ee} / v_e \rangle}{\langle v_{ee} (v_e - v_{ee}) / v_e \rangle} \right], \tag{A.12}$$

$$K_b^a = (-m_e / \delta_4 e^2 n_e \tau_e) (1 + \delta_5 (r/R)^{1/2})^{-1} (c/B_\theta) (r/R)^{1/2} \delta_1 (T_e + T_i), \tag{A.13}$$

$$K^i = (-m_e / \delta_4 e^2 \tau_e) (1 + \delta_5 (r/R)^{1/2})^{-1} (c/B_\theta) (r/R)^{1/2} \delta_3, \tag{A.14}$$

$$K^e = (\delta_2 / \delta_3) K^i, \tag{A.15}$$



$$K^z = (m_e/\delta_4 e^2 n_e \tau_e)(1 + \delta_5 (r/R)^{1/2})^{-1} (c/4\pi), \quad (\text{A.16})$$

$$R_b^a = \left( T_i m_b \langle v_b \rangle / Z_b \sum_s m_s n_s \langle v_s \rangle \right), \quad (\text{A.17})$$

$$R^i = -0.17 \sum_s m_s n_s \langle v_s \rangle / Z_s \sum_s m_s n_s \langle v_s \rangle, \quad (\text{A.18})$$

$$\hat{v}_b = (3m_e/\tau_e)(Z_b^2/m_b), \quad (\text{A.19})$$

where

$$\delta_4 = K_1(Z_{\text{eff}}), \quad (\text{A.20})$$

$$\delta_5 = -1.48(1 + \tau_e \langle v_{ee} \rangle K_0^2(Z_{\text{eff}})/K_1(Z_{\text{eff}})), \quad (\text{A.21})$$

$$Z_{\text{eff}} = \sum_a n_a Z_a^2 / n_e, \quad (\text{A.22})$$

$$K_0(\beta) = \langle v_{ee}/v_e \rangle / \tau_e \langle v_{ee}(v_e - v_{ee})/v_e \rangle, \quad (\text{A.23})$$

$$K_1(\beta) = \tau_e^{-1} [\langle v_e^{-1} \rangle + (\langle v_{ee}/v_e \rangle^2 / \langle v_{ee}(v_e - v_{ee})/v_e \rangle)]. \quad (\text{A.24})$$

The values of  $\delta_4$  and  $\delta_5$  disagree with those of Hinton *et al.* [8] and Rosenbluth *et al.* [7] because of imperfections in the treatment of nonlocalized distribution functions by Connor's [2] model collision operator.

Since  $\delta_5 < 0$ , there will be a singularity in Eqs. (A.13)–(A.16) if the aspect ratio  $R/a$  is less than  $\delta_5^2$ . In such a case, the correction term  $\delta_5(r/R)^{1/2}$  makes little sense, so it is ignored.

The bootstrap current  $j_g$  is defined by

$$j_g = \frac{c}{B_\theta} \left( \frac{r}{R} \right)^{1/2} n_e \left\{ \sum_a \delta_1 (T_e + T_i) \frac{1}{n_e} \frac{\partial n_a}{\partial r} + \delta_2 \frac{\partial T_e}{\partial r} + \delta_3 \frac{\partial T_i}{\partial r} \right\}, \quad (\text{A.25})$$

where  $\delta_1 = -2.44$ ,  $\delta_2 = -0.96$  and  $\delta_3 = 0.42$ .

## APPENDIX B

The coefficients in Eqs. (2.42)–(2.49) for the “smoothed banana-plateau” regime may be written in the following form:

$$C_{\text{SBP}} = C_{\text{B}} f(C) \quad (\text{B.1})$$

where  $C_{\text{B}}$  is the coefficient  $C$  for the banana regime, as defined in Appendix A, and  $C_{\text{SBP}}$  is the value for the smoothed banana-plateau regime.

Values of the various  $f(C)$  may be found in Table B-1.

TABLE B-1

$C$	$f(C)$	
$D_{ab}^d$	$\alpha_1/\alpha_1^0$	
$D_a^i$	$\alpha_3/\alpha_3^0$	
$D_a^e$	$\alpha_2/\alpha_2^0$	
$D_a^z$	$\alpha_4/\alpha_4^0$	
$M_b^d$	$\beta_1/\beta_1^0$	
$M^i$	$\beta_3/\beta_3^0$	
$M^e$	$\beta_2/\beta_2^0$	
$M^z$	$\beta_4/\beta_4^0$	
$L_b^d$	$\frac{5}{2}\Gamma T_i$ terms other terms	$\alpha_1/\alpha_1^0 \cdot y/1.33$ $\gamma_3/\gamma_3^0$
$L^i$	$\frac{5}{2}\Gamma T_i$ terms other terms	$\alpha_3/\alpha_3^0 \cdot y/1.33$ $\gamma_3/\gamma_3^0$
$L^e$		$\alpha_2/\alpha_2^0 \cdot y/1.33$
$L^z$		$\alpha_4/\alpha_4^0 \cdot y/1.33$
$R^i$		$(1.5 - y)/1.7$
$\delta_k$	$(k = 1, \dots, 5)$	$\delta_k^1/\delta_k^0$

Here,

$$\alpha_1 = -1.12/(1. + 1.78\nu_e^*) \quad (\text{B.2})$$

$$\alpha_2 = -1.5\alpha_1 - 1.25/(1. + .66\nu_e^*) \quad (\text{B.3})$$

$$\alpha_3 = (y - 1.5) \alpha_1 \quad (\text{B.4})$$

$$\alpha_4 = -2.44/(1. + .85\nu_e^*) \quad (\text{B.5})$$

$$\beta_1 = -1.25/(1. + .66\nu_e^*) \quad (\text{B.6})$$

$$\beta_2 = -1.5\beta_1 - 2.64/(1. + .35\nu_e^*) \quad (\text{B.7})$$

$$\beta_3 = (y - 1.5) \beta_1 \quad (\text{B.8})$$

$$\beta_4 = -4.35/(1. + .4\nu_e^*) \quad (\text{B.9})$$

$$\gamma_3 = -0.48/(1. + .36\nu_i^*) \quad (\text{B.10})$$

$$\delta_1^1 = \alpha_4 \quad (\text{B.11})$$

$$\delta_2^1 = -1.5\delta_1^1 + \beta_4 \quad (\text{B.12})$$

$$\delta_3^1 = (y - 1.5) \delta_1^1 \quad (\text{B.13})$$

$$\delta_4^1 = 1.96 \quad (\text{B.14})$$

$$\delta_5^1 = -1.96/(1. + \nu_e^*) \quad (\text{B.15})$$

$$\nu_i^* = 4 \sqrt{\pi} R^{3/2} B_\theta n_e e^4 \ln \Lambda_{ee} / 3r^{1/2} B_\theta T_i^2 \quad (\text{B.16})$$

$$\nu_e^* = \sqrt{2} T_i^2 \nu_i^* / T_e^2 \quad (\text{B.17})$$

$$y = (1.33 + 3\nu_i^*) / (1 + \nu_i^*). \quad (\text{B.18})$$

The superscript "0" in Table B-1 means that the superscripted variable should be evaluated with  $\nu_e^* = \nu_i^* = 0$ .

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